



# Transfer Authorization for Registered Investments

(RSP, LIRA, LRSP, RLSP, RIF, LRIF, LIF, RLIF, TFSA)

This form can be used for RSP to RSP transfers (except for transfers due to death), RSP to RIF transfers, RIF to RIF transfers and TFSA to TFSA transfers.

**Please note:** The data entered on this form may be scanned and stored electronically. Please print neatly in the spaces provided to ensure completeness, accuracy and machine readability.

**A: Client Identification**

Account/Policy Holder Last Name \_\_\_\_\_ First Name \_\_\_\_\_ Init. \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ Prov. \_\_\_\_\_ Postal Code \_\_\_\_\_

Social Insurance Number \_\_\_\_\_ Home Telephone Number \_\_\_\_\_ Business Telephone Number \_\_\_\_\_

**B: Receiving Institution Information**

BMO Investments Inc.  
Administration Office:  
BMO Mutual Funds  
30 Adelaide Street East, Suite 1  
Toronto, ON., M5C 3G9  
Telephone: 1 (800) 668-7327  
Fax: 1-800-200-2497

**A COMPLETED APPLICATION IS REQUIRED TO OPEN A NEW REGISTERED PLAN - APPLICATION ATTACHED**  YES  NO

Client Account/Policy Number \_\_\_\_\_

Dealer Name \_\_\_\_\_ Dealer Number \_\_\_\_\_

Agent Name \_\_\_\_\_ Agent Number \_\_\_\_\_

Agent Telephone Number \_\_\_\_\_ Agent Fax Number \_\_\_\_\_ Dealer Account Number \_\_\_\_\_

For use by Mutual Fund Brokers/Dealers only

- Registered Type:
- RRSP  RRIF  TFSA
- Spousal RRSP  Spousal RRIF
- LIRA  LRSP  RLSP
- LIF  LRIF  RLIF

Governing Province/Federal: \_\_\_\_\_

### Investment Instructions:

Investment Name	Symbol	%/ \$ Amount
		\$
		\$
		\$

**C: Client Direction to Relinquishing Institution**

Relinquishing Institution Name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ Prov. \_\_\_\_\_ Postal Code \_\_\_\_\_

Client Account/Policy Number \_\_\_\_\_

### Transfer: (check one box only)

- All in cash\*  All as is (in Kind)  All assets\*, but mixed in Cash and as is (in Kind), see list below or attached list  Partial\* - as listed below or on attached list

\*Please refer to statement in bold in Client Authorization section below.

Please make cheque payable to: **BMO Investments Inc.**

In Kind	In Cash	Investment Amount	Symbol and/or Certificate Number or Policy Number	FOR USE BY RELINQUISHING INSTITUTION Delay Delivery Until
<input type="checkbox"/>	<input type="checkbox"/>			Y Y Y Y M M D D
Shares/Unit	Dollars	Investment Description		
<input type="checkbox"/>	<input type="checkbox"/>			Y Y Y Y M M D D
Shares/Unit	Dollars	Investment Description		
<input type="checkbox"/>	<input type="checkbox"/>			Y Y Y Y M M D D
Shares/Unit	Dollars	Investment Description		

**D: Client Authorization**

I hereby request the transfer of my account and its investments as described above.

**\*WHERE I HAVE REQUESTED A TRANSFER IN CASH, I AUTHORIZE THE LIQUIDATION OF ALL OR PART OF MY INVESTMENTS AND AGREE TO PAY ANY APPLICABLE FEES, CHARGES OR ADJUSTMENTS.**

Signature of Account Holder \_\_\_\_\_ Date \_\_\_\_\_

Irrevocable Beneficiary: I consent to the transfer of the account. Date \_\_\_\_\_  
Signature of Irrevocable Beneficiary (if applicable) \_\_\_\_\_

**E: For Use By Relinquishing Institution Only**

Registered Type:  RRSP  TFSA  LIRA  LRSP  RLSP  LRIF  LIF  RLIF  RRIF:  Qualified  Non Qualified

Spousal Plan:  No  Yes - if yes: \_\_\_\_\_ Last Name \_\_\_\_\_

First Name \_\_\_\_\_ Init \_\_\_\_\_ Social Insurance Number \_\_\_\_\_

Locked In:  No  Yes - Locked-In confirmation attached  Locked-In Funds \$ \_\_\_\_\_ Governing Legislation \_\_\_\_\_

One-time unlocking option has been exercised.  Yes  No  
Contact Name \_\_\_\_\_ Telephone Number \_\_\_\_\_ Fax Number \_\_\_\_\_

Authorized Signature \_\_\_\_\_ Date \_\_\_\_\_