

United Nations Principles for Responsible Investment

Our commitment, our progress.

BMO Global Asset Management is committed to helping establish a more sustainable financial system. As a founding signatory to the United Nations Principles for Responsible Investment (UNPRI), we believe in the growing relevance of environmental, social and corporate governance (ESG) drivers to investment decision making. At BMO, we strive to advance the Principles in our own work and broadly in the marketplace. We are proud to have achieved the maximum rating of A+ for key areas of our responsible investment approach, including strategy and governance, and ESG incorporation and active ownership in listed equities. We are pleased to share the overall results of the 2019 UNPRI assessment of our efforts, which demonstrates accountability and commitment to the Principles.^{1,2}

Focus of reporting module	BMO GAM score	Median score	UNPRI indicator description
Strategy and Governance	A+	A	Covers overall approach to responsible investment, including governance, responsible investment policy, objectives and targets, the resources allocated to responsible investment and the approach to collaboration on responsible investment and public policy-related issues.
Listed Equity – Incorporation	A+	B	Covers integration of ESG issues into investment decision making for internally managed listed equity holdings.
Listed Equity – Active Ownership	A+	B	Covers engagement and voting for internally managed listed equity holdings. Engagement policy, prioritization, collaboration, outputs and external communication are all scored as well as proxy voting policy and outcomes.
Fixed Income – SSA ³	A	B	Covers application of ESG to internally managed fixed income SSA holdings. SSA holdings include supranational organizations; sovereign states and governments; government agencies; and sub-nationals such as municipalities.
Fixed Income – Corporate Non-Financial	A	B	Covers application of ESG to internally managed fixed income debt securities issued by public or private nonfinancial companies.

UN PRI Principles



¹ UNPRI assessment methodology available at: www.unpri.org/report

² BMO GAM's full private Assessment Report from the UNPRI is available upon request.

³ Sovereign, Supranational and Agency

BMO Global Asset Management is a global investment manager delivering service excellence from 20+ offices in 14 countries to clients across five continents. Including discretionary and nondiscretionary assets, BMO Global Asset Management had more than US\$250 billion in assets under management, as of 30 April 2019.



BMO Global Asset Management

BMO Global Asset Management is the brand name for various affiliated entities of BMO Financial Group that provide investment management and trust and custody services. Certain of the products and services offered under the brand name BMO Global Asset Management are designed specifically for various categories of investors in a number of different countries and regions and may not be available to all investors. Those products and services are only offered to such investors in those countries and regions in accordance with applicable laws and regulations. BMO Financial Group is a service mark of Bank of Montreal (BMO).

Investment products are: NOT FDIC INSURED — NO BANK GUARANTEE — MAY LOSE VALUE.

© BMO Financial Corp. (4973360)

©2019 BMO Global Asset Management. Financial promotions are issued for marketing and information purposes; in the United Kingdom by BMO Asset Management Limited, which is authorised and regulated by the Financial Conduct Authority; in the EU by BMO Asset Management Netherlands B.V., which is regulated by the Dutch Authority for the Financial Markets (AFM); and in Switzerland by BMO Global Asset Management (Swiss) GmbH, which is authorised and regulated by the Swiss Financial Market Supervisory Authority (FINMA). CM14225 (07/19). This item is approved for use in the following countries; AT, BE, DK, FI, FR, DE, IT, IE, LU, NL, NO, PT, ES, SE, CH, UK.