

BMO Junior ISA (JISA)

Application for a new account



- This application form is an offer to enter into an agreement with BMO Asset Management Limited ("BMO") for a BMO Junior ISA.
- Investments can be made by lump sum, or monthly savings or both.
- The BMO Junior ISA is a stocks and shares JISA only.
- If you need further application forms, please download them from our website at bmoinvestments.co.uk, email info@bmogam.com or call 0800 136 420*. You can also photocopy this form, although signatures must be original.
- Before making your investments, please ensure that you have read the latest Key Information Documents (KIDs) for your preferred investment(s), and the pre-sales costs disclosures for your savings plan and selected investment(s). These documents can be found at bmogam.com/literature. Paper copies can be provided on request.

All personal information submitted with this application form will be treated in accordance with BMO Asset Management Limited's Privacy Notice which is available at: bmogam.com/privacy.

Please complete the form in block capitals and in black ink.

Please return the form to:

**BMO Asset Management Limited
PO Box 11114
Chelmsford
CM99 2DG**

18DDF/1
04/19

Part 1 Personal details

Please ensure you supply your address, date of birth, Nationality and National Insurance number – all are required to open your plan.

I apply to open a Junior ISA for:

Child details

Master <input type="checkbox"/>	Miss <input type="checkbox"/>	Child's first name(s) in full
Child's surname	Nationality	
Child's address	Child's date of birth	
	National Insurance number (if they have one)	
	If National Insurance number not issued please tick this box <input type="checkbox"/>	

Registered Contact details

Title (Mr/Mrs/Miss/Ms/Other)	First name(s) in full
Surname	
Permanent residential address	Date of birth
	Telephone
Postcode	
Nationality	Relationship to the child
Email address	National Insurance number

- Children aged 16 or over can apply in their own name.
- To open an account for a child you must be 16 or over and have parental responsibility for the child; you may be the child's natural parent, have legally adopted the child, or have been granted legal authority by the Courts.

What is your intended use for this account? Are you investing for:

Retirement Education Income Capital growth Other

Data Protection

All personal information submitted with this application form will be treated in accordance with BMO Asset Management Limited's Privacy Notice which is available at: bmogam.com/privacy. In the Privacy Summary Statement included with this application form, we have provided you with some key information about how we process your personal information. There is a lot more information contained in our Privacy Notice, which we recommend that you read. Should you have any questions regarding how we process your personal information, we have provided you with key contact information in the Privacy Summary Statement, as well as in our Privacy Notice.

Marketing

We would like to provide you with details of financial services and products that we offer which we think you might find interesting. **If you would NOT like to receive such information, please tick this box** . If at any time you change your mind, please let us know by emailing us at preferences@bmogam.com. For full details of how we use your personal information, please visit bmogam.com/privacy.

Part 2 Personal details – of donor (to be completed where the contributions are not being made by the Registered Contact)

Please ensure you supply all information requested below.

I wish to gift £ (cheque for lump sum) to the child detailed in Part 1 and/or £ (monthly savings - complete Part 7.)

Please complete your details below.

Title (Mr/Mrs/Miss/Ms/Other)*		First name(s) in full*	
Surname*		Nationality*	
Permanent residential address*		Telephone	
Postcode*		National Insurance number*	
Email address		Date of birth*	

I understand that this payment belongs to the account holder and I have no future claim over the investment. I understand that routine correspondence regarding this plan will be sent to the Registered Contact and only the Registered Contact can make investment decisions or changes to the account. I cannot receive information regarding this investment without the written authority of the Registered Contact.

Signature of person making contribution

Date

Part 3 JISA annual management charge

The annual management charge of £25+VAT is payable in two equal instalments on 5 April and 5 October each year. If you would like to pay this charge by Direct Debit please tick the box below and complete the Direct Debit Form in Part 7.

I would like to pay my management charge by Direct Debit. (please complete Part 7)

If you do not select this option or Part 7 is not completed your annual management charge will be paid out of any available cash on your account. If there is insufficient cash in the plan the outstanding management charge will be settled by the sale of shares.

Part 4 Investment details

Please fill in the amount you would like to invest.

Please tick which trust is to be the Default fund. This will be the trust used for investing extra contributions in the future, should we not receive specific instructions from the Registered Contact (not applicable in the case of online subscriptions received without specific instructions).

Trust name	SEDOL Code (for office use only)	A Lump sum (min £500 per trust)	B Monthly savings (min £30 per trust)	Default fund (tick one box only)
European Assets Trust (ordinary shares)	BD0BSY3	£	£	<input type="checkbox"/>
BMO Capital and Income Investment Trust (ordinary shares)	0346328	£	£	<input type="checkbox"/>
F&C Commercial Property Trust (ordinary shares)	B4ZPCJ0	£	£	<input type="checkbox"/>
BMO Global Smaller Companies (ordinary shares)	0017505	£	£	<input type="checkbox"/>
BMO Managed Portfolio Trust (growth shares)	B2PP252	£	£	<input type="checkbox"/>
BMO Managed Portfolio Trust (income shares)	B2PP3J3	£	£	<input type="checkbox"/>
BMO Private Equity Trust (ordinary shares)	3073827	£	£	<input type="checkbox"/>
F&C Investment Trust (ordinary shares)	0346607	£	£	<input type="checkbox"/>
BMO UK High Income Trust (ordinary shares)	B1N4G29	£	£	<input type="checkbox"/>
BMO UK High Income Trust (B shares)	B1N4H59	£	£	<input type="checkbox"/>
BMO UK High Income Trust (units)	B1N4H93	£	£	<input type="checkbox"/>
F&C UK Real Estate Investments Limited (ordinary shares)	B012T52	£	£	<input type="checkbox"/>
TR Property Trust (ordinary shares)	0906409	£	£	<input type="checkbox"/>
Total amount		£	£	

- Please note more than one person can contribute to the JISA account, however the total investment each tax year must not exceed the annual limit of £4,368 for the 2019/20 tax year.
- Monthly savings must be made by Direct Debit. If you wish to save monthly, please complete the Direct Debit mandate (Part 7). This will be for the total of B above.
- Cheques should be made payable to BMO Asset Management Limited and be for the total of A above. Please ensure this meets the minimum lump sum per trust requirements.
- **Your application will be rejected if you do not select a Default fund in the table above.**

Part 5 For financial adviser use only

Financial adviser's stamp/company name and address

Financial Conduct Authority Ref No.

Agency number

Adviser's name

No commission is paid on the BMO Junior ISA.

Application checklist

Please ensure:

- | | |
|--|---|
| <input type="checkbox"/> you have read and understood the Key Features of the BMO Junior ISA and Terms & Conditions brochure. | <input type="checkbox"/> if you are investing monthly, you have completed the Direct Debit mandate (Part 7). |
| <input type="checkbox"/> if you are investing a lump sum, that you have enclosed a cheque and it is made out to 'BMO Asset Management Limited'. Please remember to sign and date your cheque. Post-dated cheques will not be accepted. | <input type="checkbox"/> you have signed the Declaration in Part 6. |
| | <input type="checkbox"/> you do not detach any part of the form other than the Direct Debit Guarantee. |
| | <input type="checkbox"/> that you have read the latest Key Information Document and pre-sales cost disclosures for the selected investment trust(s) and savings plan. |

I declare that

- I am 16 years of age or over
- I am the child/I have parental responsibility for that child.
- If I/the child holds a Child Trust Fund (CTF) account, this must be transferred as part of this application.
- I will be the Registered Contact for the JISA.
- The child named in Part 1 will be the beneficial owner of the account investments.
- The child is resident in the UK, or a dependant of a UK Crown servant.
- I have not subscribed and will not subscribe to another stocks and shares JISA for this child.
- I am not aware that this child has another stocks and shares JISA.
- I am not aware of other JISA subscriptions that will result in this child exceeding the annual limit of £4,368 in the 2019/20 tax year.
- I will not knowingly make subscriptions to JISAs for this child that will result in the £4,368 subscription limit being exceeded for the 2019/20 tax year.

- I authorise BMO Asset Management Limited:
 - to hold, or arrange a suitable third party to hold the child's subscriptions, JISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash;
 - to make on the child's behalf any claims to relief from tax in respect of JISA investments.
- I agree to be bound by the Key Features of the Junior ISA and the Terms and Conditions and will promptly inform you of any changes in my circumstances.
- I have read the latest Key Information Documents for the selected investment trust(s).
- I have read and understood the pre-sales cost disclosure(s) for the selected investment trust(s) and savings plan.
- I understand that this application is subject to acceptance by BMO Asset Management Limited.
- I declare that the statements completed on this application are true to the best of my knowledge and belief.

Signature

--

Date

--	--	--	--	--	--



If you are interested in the Fund(s) and contact us about them, or already hold shares or units in a Fund, we are likely to process personal information about you. As such, and in accordance with our obligations under data protection law, BMO Asset Management Limited's privacy notice provides details about our processing of your personal information. The most up to date version of the Privacy Notice is available online at [bmogam.com/privacy](https://www.bmogam.com/privacy).

Our Privacy Notice provides you with important information about how, when, and why we collect and process your personal information. The Privacy Notice also provides you with information regarding your rights in relation to the personal information which we process about you and our responsibilities in that regard.

As ISA manager, when we process your personal information, it is being processed:

1. to comply with our legal obligations, such as our financial regulatory obligations (which include the obligation to record calls and monitor trades), identity verification and anti-money-laundering obligations amongst others specified in our Privacy Notice;
2. where it is necessary for us to provide our services to you, which includes, for example undertaking transactions in relation to your investments, communicating with you about your investments and any changes to them or their performance amongst others specified in our Privacy Notice;
3. where it is necessary for our legitimate interests (so long as those legitimate interests are not overridden by your interests or fundamental rights). Those legitimate interests include monitoring calls for training, quality and security purposes, testing our products and services to ensure that they are performing to their best potential (and therefore your financial benefit), contacting you about updates to our Privacy Policy and other document amendments, appointing third party contractors to assist us carry our services amongst others specified in our Privacy Notice;
4. where it is necessary for the performance of a task which is in the public interest, such as assisting with regulatory and/or other law enforcement investigations, as well as for our ability to have appropriate conversations and dialogue with regulators in relation to our business practices; and/or
5. where you have given your consent, such as when you have agreed to receive marketing communications from us, or where you have consented or instructed us to provide information to a third party (such as your financial advisor), or where you have volunteered personal information to us during correspondence amongst others specified in our Privacy Notice.

We can confirm that when you provide personal information to us, it will be shared with other third parties and further information is provided about this in the Privacy Notice. We can also advise you that your personal information will be sent outside of the European Economic Area and our Privacy Notice gives you guidance on how to obtain further information about these transfers, if you would like to know more about them.

As you may be aware, when we process personal information about you, you have certain rights in relation to your personal information and we are under various obligations in relation to the same. Included within the Privacy Notice is information about your rights, and how you can contact us about exercising them.

The above is a summary of the key aspects of how we process your personal information, and important aspects of our Privacy Notice which we consider that you may be most interested in. There is a lot more information and detail on each of the above topics, and other information about how we process your personal information which is contained within our Privacy Notice. We recommend that you take time to read our Privacy Notice, or use it as your first port of call in the event you have a question about our processing of your personal information. Of course, if you have any questions, you can also contact us directly using the below information.

[bmogam.com/privacy](https://www.bmogam.com/privacy)

Please contact us if you have any questions about our privacy notice or information we hold about you.


Our Data Protection Officer is: Barry Gibbon and he can be contacted by the following means:

Email: Privacy.UK-EU@bmo.com

Write: BMO Financial Group
Office of the Data Protection Officer
95 Queen Victoria Street
London, EC4V 4HG
United Kingdom

BMO Asset Management Limited

*0800 136 420, 8.30am - 5.30pm, weekdays, calls may be recorded or monitored for training or quality purposes.

BMO  | A part of BMO Financial Group

BMO Asset Management Limited is authorised and regulated by the Financial Conduct Authority and is a member of BMO Global Asset Management (EMEA) of which the ultimate parent company is the Bank of Montreal. L8_04/19_CM19713

BMO 