

BMO General Investment Account

- This application form is an offer to enter into an agreement with BMO Asset Management Limited ("BMO") for a BMO General Investment Account.
- You can invest individually or jointly.
- You can invest a lump sum, or monthly savings, or both.
- If you need further application forms, please download them from our website at bmoinvestments.co.uk, email info@bmogam.com or call 0800 136 420*. You can also photocopy this form, although signatures must be original.
- Before making your investments, please ensure that you have read the latest Key Information Documents (KIDs) for your preferred investment(s), and the pre-sales costs disclosures for your savings plan and selected investment(s). These documents can be found at bmoinvestments.co.uk/documents. Paper copies can be provided on request.

All personal information submitted with this application form will be treated in accordance with BMO Asset Management Limited's Privacy Notice which is available at: bmoinvestments.co.uk/privacy-policy.

Please complete the form in block capitals and in black ink.

Please return the form to the following address:

BMO Asset Management Limited
PO Box 11114
Chelmsford
CM99 2DG

19DLU/1
04/19

Part 1 Personal details

Please ensure you supply your address, date of birth, Nationality and National Insurance number – all are required to open your plan.

1.1 First joint/only applicants details

Title (Mr/Mrs/Miss/Ms/Other)*	First name(s) in full*	Surname*
<input type="text"/>	<input type="text"/>	<input type="text"/>
Permanent residential address (including postcode)*		Postcode
<input type="text"/>		<input type="text"/>
Country	Date of birth*	National Insurance number*
<input type="text"/>	<input type="text"/>	<input type="text"/>
Telephone	Email address	Nationality*
<input type="text"/>	<input type="text"/>	<input type="text"/>

Providing your telephone number will help us contact you quickly if there are any queries with this form.

1.2 Joint applicants (if required)

Title (Mr/Mrs/Miss/Ms/Other)*	First name(s) in full*	Surname*
<input type="text"/>	<input type="text"/>	<input type="text"/>
Permanent residential address (including postcode)*		Postcode
<input type="text"/>		<input type="text"/>
Country	Date of birth*	National Insurance number*
<input type="text"/>	<input type="text"/>	<input type="text"/>
Telephone	Email address	Nationality*
<input type="text"/>	<input type="text"/>	<input type="text"/>

Providing your telephone number will help us contact you quickly if there are any queries with this form.

- If there are more than two joint applicants, you can download the Multi Applicant Form from our website or contact us on 0800 136 420.
- All applicants must sign the Declaration in Part 6.
- We will send all correspondence to the address of the first joint/only applicant.

What is your intended use for this account? Are you investing for:

Retirement Education Income Capital growth Other

Data Protection

All personal information submitted with this application form will be treated in accordance with BMO Asset Management Limited's Privacy Notice which is available at: bmoinvestments.co.uk/privacy-policy. In the Privacy Summary Statement included with this application form, we have provided you with some key information about how we process your personal information. There is a lot more information contained in our Privacy Notice, which we recommend that you read. Should you have any questions regarding how we process your personal information, we have provided you with key contact information in the Privacy Summary Statement, as well as in our Privacy Notice.

Marketing

We would like to provide you with details of financial services and products that we offer which we think you might find interesting. **If you would NOT like to receive such information, please tick this box** . If at any time you change your mind, please let us know by emailing us at preferences@bmogam.com.

For full details of how we use your personal information, please visit bmoinvestments.co.uk/privacy-policy.

Part 2 Investment details

You can invest (i) a lump sum only or (ii) an initial lump sum followed by monthly savings or (iii) monthly savings only. Please fill in the amount that you wish to invest.

Trust name	SEDOL Code (for office use only)	A Lump sum (min £500 per trust)	B Monthly savings (min £50 per trust)
European Assets Trust (ordinary shares)	BHJVQ59	£	£
BMO Capital and Income Investment Trust (ordinary shares)	0346328	£	£
BMO Commercial Property Trust (ordinary shares)	B4ZPCJ0	£	£
BMO Global Smaller Companies (ordinary shares)	0017505	£	£
BMO Managed Portfolio Trust (growth shares)	B2PP252	£	£
BMO Managed Portfolio Trust (income shares)	B2PP3J3	£	£
BMO Private Equity Trust (ordinary shares)	3073827	£	£
F&C Investment Trust (ordinary shares)	0346607	£	£
BMO UK High Income Trust (ordinary shares)	B1N4G29	£	£
BMO UK High Income Trust (B shares)	B1N4H59	£	£
BMO UK High Income Trust (units)	B1N4H93	£	£
BMO Real Estate Investments Limited (ordinary shares)	B012T52	£	£
TR Property Trust (ordinary shares)	0906409	£	£
	Total amount	£	£

- Lump sums must be paid by cheque. Cheques should be made payable to 'BMO Asset Management Limited'.
- For monthly savings please complete the Direct Debit mandate (Part 7) This will be for the amount in B above. Please provide proof of your bank/building society details with this form. We can accept a pre-printed pay-in slip or a cancelled cheque.

Part 3 Annual management charge

The annual management charge of £40+VAT is applied in two equal instalments on 5 April and 5 October each year and collected shortly thereafter. If you would like to pay this charge by Direct Debit please tick the box below and complete the Direct Debit Form in Part 7.

I would like to pay my management charge by Direct Debit. (please complete Part 7)

If you do not select this option or Part 7 is not completed your annual management charge will be paid out of any available cash on your account. If there is insufficient cash in the plan the outstanding management charge will be settled by the sale of shares.

Part 4 Dividend options

Dividends will be automatically reinvested to buy more shares. If you would prefer dividends to be paid out to your bank/building society, bank account verification is required, we can accept a pre-printed pay-in slip or a cancelled cheque. Please complete your details below.

Name of bank or building society

Branch sort code

Name of account holder(s)

Account number

Bank or building society address

Roll Number

Postcode

Part 5 For financial adviser use only

Financial adviser's stamp/company name and address

Financial Conduct Authority Ref No.

Agency number

Adviser's name

No commission is paid on the General Investment Account

Tax residency

Tax regulations¹ require us to collect information about each investor’s tax residency². In certain circumstances (including if we do not receive a valid self-certification from you) we will be obliged to share information about your account(s) with Her Majesty’s Revenue & Customs (HMRC) who may in turn share this information with any or all participating tax jurisdictions³.

Please indicate all countries in which you are resident for tax purposes and your associated Tax Identification Number(s) in the table below. If you are also a US person/citizen you must include United States in this table along with your US Tax Identification Number. If you have any questions about your tax residency², please contact your tax adviser.

Name	Country/Countries of Tax Residency	Tax Identification Number*

Declaration

I/We declare that the information on this completed application form is correct to the best of my/our knowledge. **I/We confirm that I/we** have read the Key Features of the BMO General Investment Account.
I/We agree to be bound by the Terms & Conditions relating to the plan. **I/We understand that** this application is subject to acceptance by BMO. **I/We declare that I/we are not a US Person. I /We agree** to notify BMO Asset Management Limited immediately if any of this information changes in the future. **I/We confirm that I/we** have read the latest Key Information Documents for the selected investment trust(s). **I/We confirm that I/we** have read and understood the pre-sales cost disclosure(s) for the selected investment trust(s) and savings plan.

Registered Holder (Applicant 1):
Name (please print in full):
Date of birth:
Signature:
Date

Registered Holder (Applicant 2):
Name (please print in full):
Date of birth:
Signature:
Date

¹ The term “tax regulations” refers to the International Tax Compliance Regulations 2015 which implements the Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information (CRS).
² In general, you are tax resident where you are liable to taxes, based on where you live and work permanently although different jurisdictions have different rules in relation to tax residency. If in doubt, please contact your tax adviser.
³ Those countries that have agreed to exchange information under FATCA and the CRS¹.
* If you are a UK Tax resident and not a tax resident anywhere else and also not a US citizen, you are not required to provide details of your ‘Tax Identification Number’ or ‘Date of Birth’, or if you are not resident in a jurisdiction that is reportable under CRS or FATCA and also not a US citizen, you are not required to provide your ‘Tax Identification Number’ or ‘Date of Birth’.

Application checklist Please ensure:

- that you have read and understood the Key Features of the General Investment Account and Terms & Conditions brochure.
- if you are investing a lump sum, that you have enclosed a cheque and it is made out to ‘BMO Asset Management Limited’. Please remember to sign and date your cheque. Post-dated cheques will not be accepted.
- if you are investing monthly, you have completed the Direct Debit mandate (Part 7) and enclosed proof of your bank/ building society details. We can accept a pre-printed pay-in slip or a cancelled cheque.
- that you have signed and dated the Declaration in Part 6.
- that you do not detach any part of the form other than the Direct Debit Guarantee.
- that you have read the latest Key Information Document and pre-sales cost disclosures for the selected investment trust(s) and savings plan.

Please note bank details provided for regular monthly savings and income payments must be the same. We cannot hold more than one set of bank details per account.

If you are interested in the Fund(s) and contact us about them, or already hold shares or units in a Fund, we are likely to process personal information about you. As such, and in accordance with our obligations under data protection law, BMO Asset Management Limited's privacy notice provides details about our processing of your personal information. The most up to date version of the Privacy Notice is available online at bmoinvestments.co.uk/privacy-policy.

Our Privacy Notice provides you with important information about how, when, and why we collect and process your personal information. The Privacy Notice also provides you with information regarding your rights in relation to the personal information which we process about you and our responsibilities in that regard.

As the investment manager, when we process your personal information, it is being processed:

1. to comply with our legal obligations, such as our financial regulatory obligations (which include the obligation to record calls and monitor trades), identity verification and anti-money-laundering obligations amongst others specified in our Privacy Notice;
2. where it is necessary for us to provide our services to you, which includes, for example undertaking transactions in relation to your investments, communicating with you about your investments and any changes to them or their performance amongst others specified in our Privacy Notice;
3. where it is necessary for our legitimate interests (so long as those legitimate interests are not overridden by your interests or fundamental rights). Those legitimate interests include monitoring calls for training, quality and security purposes, testing our products and services to ensure that they are performing to their best potential (and therefore your financial benefit), contacting you about updates to our Privacy Policy and other document amendments, appointing third party contractors to assist us carry our services amongst others specified in our Privacy Notice;
4. where it is necessary for the performance of a task which is in the public interest, such as assisting with regulatory and/or other law enforcement investigations, as well as for our ability to have appropriate conversations and dialogue with regulators in relation to our business practices; and/or
5. where you have given your consent, such as when you have agreed to receive marketing communications from us, or where you have consented or instructed us to provide information to a third party (such as your financial advisor), or where you have volunteered personal information to us during correspondence amongst others specified in our Privacy Notice.

We can confirm that when you provide personal information to us, it will be shared with other third parties and further information is provided about this in the Privacy Notice. We can also advise you that your personal information will be sent outside of the European Economic Area and our Privacy Notice gives you guidance on how to obtain further information about these transfers, if you would like to know more about them.

As you may be aware, when we process personal information about you, you have certain rights in relation to your personal information and we are under various obligations in relation to the same. Included within the Privacy Notice is information about your rights, and how you can contact us about exercising them.

The above is a summary of the key aspects of how we process your personal information, and important aspects of our Privacy Notice which we consider that you may be most interested in. There is a lot more information and detail on each of the above topics, and other information about how we process your personal information which is contained within our Privacy Notice. We recommend that you take time to read our Privacy Notice, or use it as your first port of call in the event you have a question about our processing of your personal information. Of course, if you have any questions, you can also contact us directly using the below information.

bmoinvestments.co.uk/privacy-policy

Please contact us if you have any questions about our privacy notice or information we hold about you.

Our Data Protection Officer is: Barry Gibbon and he can be contacted by the following means:

Email: Privacy.UK-EU@bmo.com
Write: BMO Financial Group
Office of the Data Protection Officer
95 Queen Victoria Street
London, EC4V 4HG
United Kingdom



BMO Asset Management Limited

* 0800 136 420, 8.30am - 5.30pm, weekdays, calls may be recorded or monitored for training and quality purposes.

 A part of BMO Financial Group

BMO Asset Management Limited is authorised and regulated by the Financial Conduct Authority and is a member of BMO Global Asset Management (EMEA) of which the ultimate parent company is the Bank of Montreal. L10_04/19_CM19222