

# BMO Junior ISA (JISA)

- This application form is an offer to enter into an agreement with BMO Asset Management Limited ("BMO") for a BMO JISA.
- Investments can be made by lump sum, or monthly savings or both.
- The BMO JISA is a stocks and shares JISA only.
- Only someone with parental responsibility can be the registered contact for this account, although friends and family can still make contributions.
- If you need further application forms, please download them from our website at [bmoinvestments.co.uk](http://bmoinvestments.co.uk), email [info@bmogam.com](mailto:info@bmogam.com) or call 0800 136 420\*. You can also photocopy this form, although signatures must be original.
- Before making your investments, please ensure that you have read the latest Key Information Documents (KIDs) for your preferred investment(s), and the pre-sales costs disclosures for your savings plan and selected investment(s). These documents can be found at [bmoinvestments.co.uk/documents](http://bmoinvestments.co.uk/documents). Paper copies can be provided on request.

All personal information submitted with this application form will be treated in accordance with BMO Asset Management Limited's Privacy Notice which is available at: [bmoinvestments.co.uk/privacy-policy](http://bmoinvestments.co.uk/privacy-policy).

**Please complete the form in block capitals and in black ink.**

**Please return the form to:**

**BMO Asset Management Limited**  
**PO Box 11114**  
**Chelmsford**  
**CM99 2DG**

19DLU/1  
 11/19

## Part 1 Personal details

**Please ensure you supply your address, date of birth, Nationality and National Insurance number – all are required to open your plan.**

I apply to open a JISA for:

### Child details

Master  Miss  Child's first name(s) in full

Child's surname  Nationality

Child's address

Child's date of birth

National Insurance number (if they have one)

Postcode

If National Insurance number not issued please tick this box

### Registered Contact details

Title (Mr/Mrs/Miss/Ms/Other)  First name(s) in full

Surname

Permanent residential address

Date of birth

National Insurance number\*

Postcode

Nationality  Relationship to the child

Email address  Telephone

- Children aged 16 or over can apply in their own name.
- To open an account for a child you must be 16 or over and have parental responsibility for the child; you may be the child's natural parent, have legally adopted the child, or have been granted legal authority by the Courts.

What is your intended use for this account? Are you investing for:

Retirement  Education  Income  Capital growth  Other

### Data Protection

All personal information submitted with this application form will be treated in accordance with BMO Asset Management Limited's Privacy Notice which is available at: [bmoinvestments.co.uk/privacy-policy](http://bmoinvestments.co.uk/privacy-policy). In the Privacy Summary Statement included with this application form, we have provided you with some key information about how we process your personal information. There is a lot more information contained in our Privacy Notice, which we recommend that you read. Should you have any questions regarding how we process your personal information, we have provided you with key contact information in the Privacy Summary Statement, as well as in our Privacy Notice.

### Marketing

We would like to provide you with details of financial services and products that we offer which we think you might find interesting. **If you would NOT like to receive such information, please tick this box** . If at any time you change your mind, please let us know by emailing us at [preferences@bmogam.com](mailto:preferences@bmogam.com). For full details of how we use your personal information, please visit [bmoinvestments.co.uk/privacy-policy](http://bmoinvestments.co.uk/privacy-policy).

## Part 2 Personal details – of donor (to be completed where the contributions are not being made by the Registered Contact)

Please ensure you supply all information requested below.

I wish to gift £  (cheque for lump sum) to the child detailed in Part 1 and/or £  (monthly savings – complete Part 7)

Please complete your details below.

Title (Mr/Mrs/Miss/Ms/Other)*		First name(s) in full*	
Surname*		Nationality*	
Permanent residential address*		Date of birth* <input type="text"/>	
Postcode*		National Insurance number* <input type="text"/>	
Email address		Telephone	

Please note that this investment instruction must be signed by the registered contact in section 6 of the form. I understand that this payment belongs to the account holder and I have no future claim over the investment. I understand that routine correspondence regarding this plan will be sent to the Registered Contact and only the Registered Contact can make investment decisions or changes to the account. I cannot receive information regarding this investment without the written authority of the Registered Contact.

Signature of person making contribution

Date

## Part 3 JISA annual management charge

The annual management charge of £25+VAT is payable in two equal instalments on 5 April and 5 October each year. If you would like to pay this charge by Direct Debit please tick the box below and complete the Direct Debit Form in Part 7.

I would like to pay my management charge by Direct Debit. (please complete Part 7)

If you do not select this option or Part 7 is not completed your annual management charge will be paid out of any available cash on your account. If there is insufficient cash in the plan the outstanding management charge will be settled by the sale of shares.

## Part 4 Investment details

Please fill in the amount you would like to invest.

The registered contact for this account will need to sign the declaration (section 6) before any money is invested.

Trust name	SEDOL Code (for office use only)	A Lump sum (min £500 per trust)	B Monthly savings (min £30 per trust)
European Assets Trust (ordinary shares)	BHJVQ59	£	£
BMO Capital and Income Investment Trust (ordinary shares)	0346328	£	£
BMO Commercial Property Trust (ordinary shares)	B4ZPCJ0	£	£
BMO Global Smaller Companies (ordinary shares)	BKLDX97	£	£
BMO Managed Portfolio Trust (growth shares)	B2PP252	£	£
BMO Managed Portfolio Trust (income shares)	B2PP3J3	£	£
BMO Private Equity Trust (ordinary shares)	3073827	£	£
F&C Investment Trust (ordinary shares)	0346607	£	£
BMO UK High Income Trust (ordinary shares)	B1N4G29	£	£
BMO UK High Income Trust (B shares)	B1N4H59	£	£
BMO UK High Income Trust (units)	B1N4H93	£	£
BMO Real Estate Investments Limited (ordinary shares)	B012T52	£	£
TR Property Trust (ordinary shares)	0906409	£	£
<b>Total amount</b>		£	£

- Please note more than one person can contribute to the JISA account, however the total investment each tax year must not exceed the annual limit of £4,368 for the 2019/20 tax year.
- Monthly savings must be made by Direct Debit. If you wish to save monthly, please complete the Direct Debit mandate (Part 7). This will be for the total of B above.
- Cheques should be made payable to BMO Asset Management Limited and be for the total of A above. Please ensure this meets the minimum lump sum per trust requirements.

## Part 5 For financial adviser use only

Financial adviser's stamp/company name and address

Financial Conduct Authority Ref No.

Agency number

Adviser's name

No commission is paid on the BMO Junior ISA.

### Application checklist

Please ensure:

- you have read and understood the Key Features of the BMO JISA and Terms & Conditions brochure.
- if you are investing a lump sum, that you have enclosed a cheque and it is made out to 'BMO Asset Management Limited'. Please remember to sign and date your cheque. Post-dated cheques will not be accepted.
- if you are investing monthly, you have completed the Direct Debit mandate (Part 7).
- you have signed the Declaration in Part 6.
- you do not detach any part of the form other than the Direct Debit Guarantee.
- that you have read the latest Key Information Document and pre-sales cost disclosures for the selected investment trust(s) and savings plan.

**I declare that**

- I am 16 years of age or over
- I am the child/I have parental responsibility for that child.
- If I/the child holds a Child Trust Fund (CTF) account, this must be transferred as part of this application.
- I will be the Registered Contact for the JISA.
- The child named in Part 1 will be the beneficial owner of the account investments.
- The child is resident in the UK, or a dependant of a UK Crown servant.
- I have not subscribed and will not subscribe to another stocks and shares JISA for this child.
- I am not aware that this child has another stocks and shares JISA.
- I am not aware of other JISA subscriptions that will result in this child exceeding the annual limit of £4,368 in the 2019/20 tax year.
- I will not knowingly make subscriptions to JISAs for this child that will result in the £4,368 subscription limit being exceeded for the 2019/20 tax year.

- I authorise BMO Asset Management Limited:
  - to hold, or arrange a suitable third party to hold the child's subscriptions, JISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash;
  - to make on the child's behalf any claims to relief from tax in respect of JISA investments.
- I agree to be bound by the Key Features of the JISA and the Terms and Conditions and will promptly inform you of any changes in my circumstances.
- I have read the latest Key Information Documents for the selected investment trust(s).
- I have read and understood the pre-sales cost disclosure(s) for the selected investment trust(s) and savings plan.
- I understand that this application is subject to acceptance by BMO Asset Management Limited.
- I declare that the statements completed on this application are true to the best of my knowledge and belief.

Signature – Registered Contact

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Date

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Please fill in the whole form using a ball point pen and send it to:

**BMO Asset Management Limited**  
**PO Box 11114**  
**Chelmsford**  
**CM99 2DG**

Service user number

2	7	8	6	0	1
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Reference number (for office use only)

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Please pay BMO Asset Management Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee.

I understand that this instruction may remain with BMO Asset Management Limited and, if so, details will be passed electronically to my bank/building society.

Bank/building society account number

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Branch sort code

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Name and full postal address of your bank or building society

<b>To the Manager</b>	bank/building society
Address	
Postcode	

Signature(s)


Date

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Banks and building societies may not accept Direct Debit instructions for some types of account.

**To be detached and retained by the payer**  
**The Direct Debit Guarantee**



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit BMO Asset Management Limited will notify you 5 working days in advance of your account being debited or as otherwise agreed. If you request BMO Asset Management Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by BMO Asset Management Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
  - If you receive a refund you are not entitled to, you must pay it back when BMO Asset Management Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

If you are interested in the Fund(s) and contact us about them, or already hold shares or units in a Fund, we are likely to process personal information about you. As such, and in accordance with our obligations under data protection law, BMO Asset Management Limited's privacy notice provides details about our processing of your personal information. The most up to date version of the Privacy Notice is available online at [bmoinvestments.co.uk/privacy-policy](https://bmoinvestments.co.uk/privacy-policy).

Our Privacy Notice provides you with important information about how, when, and why we collect and process your personal information. The Privacy Notice also provides you with information regarding your rights in relation to the personal information which we process about you and our responsibilities in that regard.

As the investment manager, when we process your personal information, it is being processed:

1. to comply with our legal obligations, such as our financial regulatory obligations (which include the obligation to record calls and monitor trades), identity verification and anti-money-laundering obligations amongst others specified in our Privacy Notice;
2. where it is necessary for us to provide our services to you, which includes, for example undertaking transactions in relation to your investments, communicating with you about your investments and any changes to them or their performance amongst others specified in our Privacy Notice;
3. where it is necessary for our legitimate interests (so long as those legitimate interests are not overridden by your interests or fundamental rights). Those legitimate interests include monitoring calls for training, quality and security purposes, testing our products and services to ensure that they are performing to their best potential (and therefore your financial benefit), contacting you about updates to our Privacy Policy and other document amendments, appointing third party contractors to assist us carry our services amongst others specified in our Privacy Notice;
4. where it is necessary for the performance of a task which is in the public interest, such as assisting with regulatory and/or other law enforcement investigations, as well as for our ability to have appropriate conversations and dialogue with regulators in relation to our business practices; and/or
5. where you have given your consent, such as when you have agreed to receive marketing communications from us, or where you have consented or instructed us to provide information to a third party (such as your financial advisor), or where you have volunteered personal information to us during correspondence amongst others specified in our Privacy Notice.

We can confirm that when you provide personal information to us, it will be shared with other third parties and further information is provided about this in the Privacy Notice. We can also advise you that your personal information will be sent outside of the European Economic Area and our Privacy Notice gives you guidance on how to obtain further information about these transfers, if you would like to know more about them.

As you may be aware, when we process personal information about you, you have certain rights in relation to your personal information and we are under various obligations in relation to the same. Included within the Privacy Notice is information about your rights, and how you can contact us about exercising them.

The above is a summary of the key aspects of how we process your personal information, and important aspects of our Privacy Notice which we consider that you may be most interested in. There is a lot more information and detail on each of the above topics, and other information about how we process your personal information which is contained within our Privacy Notice. We recommend that you take time to read our Privacy Notice, or use it as your first port of call in the event you have a question about our processing of your personal information. Of course, if you have any questions, you can also contact us directly using the below information.

### [bmoinvestments.co.uk/privacy-policy](https://bmoinvestments.co.uk/privacy-policy)

Please contact us if you have any questions about our privacy notice or information we hold about you.

**Our Data Protection Officer** is: Barry Gibbon and he can be contacted by the following means:

**Email:** [Privacy.UK-EU@bmo.com](mailto:Privacy.UK-EU@bmo.com)  
**Write:** BMO Financial Group  
Office of the Data Protection Officer  
95 Queen Victoria Street  
London, EC4V 4HG  
United Kingdom



## BMO Asset Management Limited

\*0800 136 420, 8.30am – 5.30pm, weekdays, calls may be recorded or monitored for training or quality purposes.