

United Nations Principles for Responsible Investment

Our commitment, our progress.

BMO Global Asset Management is committed to helping establish a more sustainable financial system. As a founding signatory to the United Nations Principles for Responsible Investment (UNPRI), we believe in the growing relevance of environmental, social and corporate governance (ESG) drivers to investment decision making. At BMO, we strive to advance the Principles in our own work and broadly in the marketplace. The 2018 framework marks our third unified UNPRI Transparency Report,¹ jointly encompassing our operations in North America and Europe.² We are proud to have achieved the maximum rating of A+ for our overall Strategy and Governance, and are pleased to share the results of the UNPRI assessment of our efforts, which demonstrates our accountability to the Principles and progress toward fulfilling our UNPRI commitments.^{3,4}

Focus of reporting module	BMO GAM score	Median score	UNPRI indicator description
Strategy and Governance	A+	A	Covers overall approach to responsible investment, including governance, responsible investment policy, objectives and targets, the resources allocated to responsible investment and the approach to collaboration on responsible investment and public policy-related issues.
Listed Equity – Incorporation	A	B	Covers integration of ESG issues into investment decision making for internally managed listed equity holdings.
Listed Equity – Active Ownership	A+	B	Covers engagement and voting for internally managed listed equity holdings. Engagement policy, prioritization, collaboration, outputs and external communication are all scored as well as proxy voting policy and outcomes.
Fixed Income – SSA ⁵	A	B	Covers application of ESG to internally managed fixed income SSA holdings. SSA holdings include supranational organizations; sovereign states and governments; government agencies; and sub-nationals such as municipalities.
Fixed Income – Corporate Non-Financial	A	B	Covers application of ESG to internally managed fixed income debt securities issued by public or private nonfinancial companies.

UN PRI Principles



¹ BMO GAM's full Transparency Report available from www.unpri.org
² BMO AM Corp, BMO Global Asset Management Canada, BMO Global Asset Management EMEA, LGM Investments
³ UNPRI assessment methodology available at: www.unpri.org/report
⁴ BMO GAM's full private Assessment Report from the UNPRI is available upon request.
⁵ Sovereign, Supranational and Agency

BMO Global Asset Management is a global investment manager delivering service excellence from 20+ offices in 14 countries to clients across five continents. Including discretionary and nondiscretionary assets, BMO Global Asset Management had more than CAD\$337.3 billion in assets under management, as of 30 June 2018.



BMO Global Asset Management

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