

Part 3 Bank/Building Society Details – for Distribution Income

This section only needs to be completed if you wish to receive the income (interest or dividends) from your investment.

Name of bank or building society

Address

Postcode

Sort code

Account number

Account Name as held by Bank or Building Society

Bank account name must be in the same name as the BMO account being opened.

Roll number (if applicable)

Part 4 Declaration & authorisation – this section must be completed

I have received advice on this investment decision. Yes No

(Please tick one box to confirm. The instructions will be processed on the basis that the decision was advised if left blank.)

I declare that the information given on this completed application form is correct to the best of my knowledge and belief.

I confirm that I have seen the current Key Investor Information Document for each of the share classes applied for in Part 2. I agree to be bound by the terms & conditions relating to the plan, and the AutoISA Investment facility and to inform BMO Fund Management Limited in writing immediately of any change in my circumstances.

I understand that this application is subject to acceptance by BMO Fund Management Limited and that they may act on instruction from my financial adviser, if applicable.

I understand that money laundering regulations may require BMO Fund Management Limited to verify my identity and permanent residential address through searches of the electoral roll and credit reference agencies and that these agencies may keep a record of BMO Fund Management Limited's enquiry. I further understand that BMO Fund Management Limited may also need to write to me for additional documentation confirming my identity and permanent residential address. All applications will be processed on receipt, however BMO Fund Management Limited reserves the right not to issue Shares to me nor make payments in respect of my investment until satisfactory proof of my identity and permanent residential address is provided.

I declare that:

- All subscriptions made and to be made to this BMO ISA belong to me;
- I am 18 years of age or over;
- I am subscribing to the BMO ISA. I have not subscribed, and will not subscribe to any other ISAs (including cash ISAs, other stocks and shares ISAs and innovative finance ISAs) in the same tax year(s). I subscribe the maximum amount to BMO ISA using this AutoISA facility.
- I am resident in the UK for tax purposes or, if not so resident, perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the UK, or I am married to or in a civil partnership with a person who performs such duties. I will inform BMO Fund Management Limited if I cease to be so resident or to perform such duties or to be married to or in a civil partnership with a person who performs such duties.
- I wish to subscribe in respect of the 2020/21 tax year and subsequent tax years and understand that the facility will continue to transfer the maximum amount each year on or around 6 April into the ISA. I understand the initial investment will be directly into the ICVC fund outside of the ISA and the maximum ISA limit transferred for the 2020/21 tax year and each year thereafter whilst there are sufficient funds invested in the ICVC funds.
- I understand that I will subscribe to an BMO ISA and in each tax year the maximum amount is transferred I will not be entitled to make any subscription to another ISA. In any year my AutoISA transfer is less than the annual permitted ISA subscription limit I will not subscribe more than the annual permitted ISA limit in total to this ISA and another ISA.
- I understand that the final transfer will be the balance remaining in the ICVC funds if it is more than £1000 and therefore may not fully fund that years entitlement. If the final transfer amount is less than £1000 I understand that this will not be transferred into the ISA but instead will remain in the ICVC.

I hereby authorise BMO Fund Management Limited:

- To disclose any information relating to my account to the Financial Conduct Authority, HMRC or to make such disclosure as otherwise required by law, including anti-money laundering and anti-terrorism laws. BMO Fund Management Limited may be obliged to make disclosures regarding such information to comply with the requirements of applicable law or regulation and/or the requirements of UK and other competent authorities;
- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and other cash;
- To make on my behalf any claims to relief from tax in respect of ISA investments;
- On my written request, to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or any cash;
- To undertake any necessary searches of the electoral roll and credit reference agencies.

Signature

Date

Data Protection

All personal information submitted with this application form will be treated in accordance with BMO Fund Management Limited's Privacy Notice which is available at: www.bmogam.com/corporate/privacy. In the Privacy Summary Statement included with this application form, we have provided you with some key information about how we process your personal information. There is a lot more information contained in our Privacy Notice, which we recommend that you read. Should you have any questions regarding how we process your personal information, we have provided you with key contact information in the Privacy Summary Statement, as well as in our Privacy Notice.

Marketing

We would like to provide you with details of financial services and products that we offer which we think you might find interesting. **If you would NOT like to receive such information, please tick this box** .

If at any time you change your mind, please let us know by emailing us at preferences@bmogam.com. For full details of how we use your personal information, please visit www.bmogam.com/privacy.

Please read the additional notes below

If you have a lump sum of over £25,000 to invest and would like to ensure you take advantage of your annual ISA allowance each year, our AutoISA facility offers a convenient solution. From your initial investment we will invest the permitted annual ISA subscription limit in our BMO ISA at the beginning of the new tax year and the balance will be held directly in your chosen fund outside of the ISA. At the beginning of each subsequent tax year, on or around 6 April, we will sell shares up to the permitted annual ISA subscription limit from outside the ISA and reinvest the proceeds in the same fund within the ISA. If the balance is under the permitted limit we will invest all of it subject to a minimum of £1,000. If you want to cancel this instruction all you have to do is contact us to let us know.

The AutoISA feature is only available in respect of subscriptions for Shares in the Funds set out in the application form, subject to the minimum subscription amounts relating to each Fund.

Please be aware that for AutoISA any investment held outside your ISA will be subject to the tax position explained in the Key Investor Information Document (KIID). Therefore when we sell shares from outside your ISA to reinvest in the fund within the ISA, it may give rise to a capital gains tax (CGT) liability, although if your realised gains from all investments do not exceed the annual CGT allowance, you will not be liable to CGT. Your financial adviser can give you further information about your personal tax position.

Please note the number of shares to be transferred at the beginning of each ISA year will be determined by the price as at the transfer date which may be higher or lower than the price at which the shares were originally purchased.

Part 5 For Financial Adviser Use only –

this section must be completed in full for advised business. The form may be rejected if the fields have not been filled in.

Company name

Company address including postcode

<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>

Agent code*

FCA number

*This number can be located on your commission statement.

Name of Network or Service Provider you are affiliated with (if relevant)

If you have already spoken to the dealing desk on **0330 123 3798** with this order please insert the deal reference number below.

This transaction is subject to the current Terms of Business for Independent Intermediaries available within the Literature Library at www.bmogam.com/adviser.

Application checklist

1. Make sure you have completed each part of the application and signed the Declaration in Part 4.
2. Lump sums – please make your cheque payable to **BMO Fund Management Limited** and enclose it with your application.
3. Any cheques or Direct Debits payable to BMO Fund Management Limited must be drawn from an account in the name of the applicant.
4. **Where the application is supported by a building society cheque or bankers draft please state the name and account number from which the funds have been drawn either on the front of the cheque, on the back of the cheque together with the branch stamp, or by way of other supporting document.**

Please send your application, plus your cheque, together with any other relevant information as specified, to your financial adviser, or to:

BMO Fund Management Limited
PO Box 9040,
Chelmsford,
Essex
CM99 2XH

If you have any queries about completing your application please call us on **0800 085 2752**

If you are interested in the Fund(s) and contact us about them, or already hold shares or units in a Fund, we are likely to process personal information about you. As such, and in accordance with our obligations under data protection law, BMO Fund Management Limited's privacy notice provides details about our processing of your personal information. The most up to date version of the Privacy Notice is available online at www.bmogam.com/corporate/privacy.

Our Privacy Notice provides you with important information about how, when, and why we collect and process your personal information. The Privacy Notice also provides you with information regarding your rights in relation to the personal information which we process about you and our responsibilities in that regard.

As ISA manager, when we process your personal information, it is being processed:

1. to comply with our legal obligations, such as our financial regulatory obligations (which include the obligation to record calls and monitor trades), identity verification and anti-money-laundering obligations amongst others specified in our Privacy Notice;
2. where it is necessary for us to provide our services to you, which includes, for example undertaking transactions in relation to your investments, communicating with you about your investments and any changes to them or their performance amongst others specified in our Privacy Notice;
3. where it is necessary for our legitimate interests (so long as those legitimate interests are not overridden by your interests or fundamental rights). Those legitimate interests include monitoring calls for training, quality and security purposes, testing our products and services to ensure that they are performing to their best potential (and therefore your financial benefit), contacting you about updates to our Privacy Policy and other document amendments, appointing third party contractors to assist us carry our services amongst others specified in our Privacy Notice;
4. where it is necessary for the performance of a task which is in the public interest, such as assisting with regulatory and/or other law enforcement investigations, as well as for our ability to have appropriate conversations and dialogue with regulators in relation to our business practices; and/or
5. where you have given your consent, such as when you have agreed to receive marketing communications from us, or where you have consented or instructed us to provide information to a third party (such as your financial advisor), or where you have volunteered personal information to us during correspondence amongst others specified in our Privacy Notice.

We can confirm that when you provide personal information to us, it will be shared with other third parties and further information is provided about this in the Privacy Notice. We can also advise you that your personal information will be sent outside of the European Economic Area and our Privacy Notice gives you guidance on how to obtain further information about these transfers, if you would like to know more about them.

As you may be aware, when we process personal information about you, you have certain rights in relation to your personal information and we are under various obligations in relation to the same. Included within the Privacy Notice is information about your rights, and how you can contact us about exercising them.

The above is a summary of the key aspects of how we process your personal information, and important aspects of our Privacy Notice which we consider that you may be most interested in. There is a lot more information and detail on each of the above topics, and other information about how we process your personal information which is contained within our Privacy Notice. We recommend that you take time to read our Privacy Notice, or use it as your first port of call in the event you have a question about our processing of your personal information. Of course, if you have any questions, you can also contact us directly using the below information.

www.bmogam.com/corporate/privacy

Please contact us if you have any questions about our privacy notice or information we hold about you.

Our Data Protection Officer is: Barry Gibbon and he can be contacted by the following means:

Email: Privacy.UK-EU@bmo.com

Write: BMO Financial Group
Office of the Data Protection Officer
95 Queen Victoria Street
London, EC4V 4HG
United Kingdom