

# BMO FUNDS TRANSFER OF ACCOUNT REQUEST INVESTOR CLASS OF SHARES (CLASS Y)

For help with this request, or for more information, call us toll-free at 1-800-236-FUND(3863) or 414-287-8555.

Use this request to transfer ownership of shares from your non-retirement account with BMO Funds to another person/entity. Do Not Use This form for IRA or Retirement Accounts.

## INSTRUCTIONS:

1. Please complete sections 1-4.
2. If you are opening a new account with the BMO Funds, please complete this form in addition to a BMO Funds account application.

### 1. BMO Funds Existing Account Registration (please print)

\_\_\_\_\_  
Fund/Account Number

\_\_\_\_\_  
Name (first, middle initial, last)

\_\_\_\_\_  
Social Security Number

\_\_\_\_\_  
Birth Date

\_\_\_\_\_  
Name (first, middle initial, last)

\_\_\_\_\_  
Social Security Number

\_\_\_\_\_  
Birth Date

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
E-mail Address

\_\_\_\_\_  
Daytime Phone

\_\_\_\_\_  
Evening Phone

### 2. Reason for Transfer

Please complete this section if your transfer will include covered shares (shares purchased after 1/1/2012).

To ensure accurate cost basis reporting, indicate the reason for transfer and provide details. If no reason is provided, the transfer will be processed as a gift.

Re-registration

Death/Inheritance

Date of Death \_\_\_\_\_ (required)

Alternate Date \_\_\_\_\_ OR

Alternate Value \$ \_\_\_\_\_ (optional)

Gift

Date of Gift \_\_\_\_\_

Fair Market Value (FMV) Acceptance \_\_\_\_\_  
(Signature of gift recipient)

If the gift recipient's existing or new account is coded for Average Cost, which is the BMO Funds default method, the gift recipient must sign above indicating acceptance of shares at fair market value on the date of the gift if shares are transferred at a loss.

### 3. Transfer Instructions

Choose A or B

A.  Single Transfer (transfer to one account)

New account (attach new account application)

\_\_\_\_\_  
Name of Recipient(s)

Transfer to Existing Account

\_\_\_\_\_  
Name of Recipient(s)

\_\_\_\_\_  
Existing Fund/Account Number

**Transfer Amount**     All     % of shares \_\_\_\_\_     \$ \_\_\_\_\_

B.  Multiple Transfers (transfer to more than one account). If necessary attach a sheet of paper with the same information for additional recipients.

Account #1

New account (attach new account application)

\_\_\_\_\_  
Name of Recipient(s)

Transfer to Existing Account

\_\_\_\_\_  
Name of Recipient(s)

\_\_\_\_\_  
Existing Fund/Account Number

**Transfer Amount**     All     % of shares \_\_\_\_\_     \$ \_\_\_\_\_

Account #2

New account (attach new account application)

\_\_\_\_\_  
Name of Recipient(s)

Transfer to Existing Account

\_\_\_\_\_  
Name of Recipient(s)

\_\_\_\_\_  
Existing Fund/Account Number

**Transfer Amount**     All     % of shares \_\_\_\_\_     \$ \_\_\_\_\_

### 4. Cost Basis Information

The cost basis reporting method on your account will be used to deplete the shares for this transaction. The BMO Funds default cost basis method is average cost. If you wish to change your cost basis method, please complete a BMO Funds Cost Basis Reporting Election Form and send it in with this form. Please consult your tax advisor before choosing your cost basis method. Under specific lot identification you will not be eligible to receive average cost on your non-covered shares. Specific lot identification should not be chosen if transferring to multiple recipients in section 3.

For specific lot identification, provide share lot information below by selecting specific share lots to be transferred.

Date of Purchase \_\_\_\_/\_\_\_\_/\_\_\_\_                      Number of Shares \_\_\_\_\_

Date of Purchase \_\_\_\_/\_\_\_\_/\_\_\_\_                      Number of Shares \_\_\_\_\_

Date of Purchase \_\_\_\_/\_\_\_\_/\_\_\_\_                      Number of Shares \_\_\_\_\_

